

AMALTAS ASSET MANAGEMENT LLP

Registered Office: 303, Floor-3rd, Plot-8(PT), Bldg No A-3, Wing-B, Bgta Ganga, CHS,
Wadala Truck Terminal Road, Wadala Truck Terminal, Antop Hill, Antop Hill,
Mumbai, Mumbai, Maharashtra, India, 400037

LLPIN: ACH-7969

Email-id: chaitanya@amaltascapital.in

Grievances, if any, that may arise pursuant to the Portfolio Investment Management Agreement entered into shall as possible be redressed through the administrative mechanism set up by the Portfolio Manager and are subject to SEBI (Portfolio Manager) Regulations 1993 and any amendments made thereto from time to time. However, all the legal actions and proceedings are subject to the jurisdiction of the courts in Mumbai only and are governed by Indian laws.

For grievances, the point of contact will be Mr. Chaitanya Shah
(chaitanya@amaltascapital.in)

- The Investor shall first take up his/ her/ their grievance with Amaltas (the Portfolio Manager/ Investment Manager) by lodging a complaint directly with Amaltas
- Amaltas shall address such grievance promptly within a time period of 21 (twenty-one) calendar days from the date of receipt of the grievance
- If the grievance is not redressed satisfactorily, the Investor may in accordance with the SCORES guidelines, escalate the same through the SCORES Portal at <https://scores.gov.in/scores/Welcome.html> or to SEBI office.
- The complaint shall be lodged on SCORES within one (1) year from the date of cause of action, where:
 - The complainant has approached Amaltas, for redressal of the complaint and Amaltas has rejected the complaint
 - The complainant has not received any communication from Amaltas or,
 - The complainant is not satisfied with the reply received or the redressal action taken by Amaltas
- If the investor is not satisfied with the extent of redressal of grievance by Amaltas, there is a one-time option for 'review' of the extent of the redressal, which can be exercised within 15 (fifteen) days from the date of closure of the complaint on SCORES
- After exhausting these options for resolution of the grievance, if the investor is still not satisfied with the outcome, he/she/ they can initiate dispute resolution through the ODR portal at <https://smartodr.in/login>. The investor(s) can also directly initiate dispute resolution through the ODR Portal if the grievance lodged with Amaltas is not satisfactorily resolved at any stage of the subsequently escalations mentioned above.
- Further, the dispute resolution through the ODR Portal can be initiated when the complaint / dispute is not under consideration:
 - In terms of paragraph 1 and 3 above;
 - Not pending before any arbitral process, court, tribunal or consumer forum or are non-arbitrable in terms of India law (including when moratorium under the Insolvency and Bankruptcy Code is in operation due to the insolvency process or if liquidation or winding up process has been commenced against the Market Participant
- Alternatively, the investor can directly initiate dispute resolution through the ODR portal, if the grievance lodged with Amaltas is not satisfactorily resolved or at any stage of the subsequent escalations mentioned above